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Paper No. 8

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WASHINGTON DC 20005

FISH and RICHARDSON, P.C  
WASHINGTON, D.C.

* No Docketing Required *
Reviewed By Practice Systems
Initials: <i>[Signature]</i>
Reviewed By Billing Secretary
Initials: <i>[Signature]</i>

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APR 24 2002

OFFICE OF PETITIONS

In re Application of  
Stevens, et al.

Application No. 09/845,769 *13865*  
Filed: May 2, 2001  
Attorney Docket No. ~~06998~~-074001

DECISION ACCORDING STATUS  
UNDER 37 CFR 1.47(a)

This is in response to the petition pursuant to 37 CFR 1.47(a),  
filed January 22, 2002.

The petition under 37 CFR 1.47(a) is **GRANTED**.

The above-identified application was filed on May 2, 2001,  
without an executed oath or declaration. Accordingly, on June  
22, 2001, the Initial Patent Examination Division mailed a Notice  
to File Missing Parts of Nonprovisional Application. This Notice  
set a two month period for reply.

In reply, applicant filed the instant petition (and fee) and paid  
the surcharge for late filing of the declaration. Applicant  
included a declaration signed by inventors Daniell Stevens, Joel  
M. Gould, Michael J. Newman, Charles E. Ingold, and Allan Gold,  
but lacking signatures from inventors Robert Roth, Dean  
Sturtevant, and David Abrahams.

A grantable petition under 37 CFR 1.47(a) requires: (1) proof  
that the non-signing inventor cannot be reached or refuses to  
sign the oath or declaration after having been presented with the  
application papers (specification, claims, drawings, oath or  
declaration); (2) an acceptable oath or declaration in compliance  
with 37 CFR 1.63; (3) the petition fee; and (4) a statement of  
the last known address of the non-signing inventors.

On petition, attorney Diana DiBerardino included a declaration  
from Patri J. Pugliese, in which Pugliese detailed the  
transmittal of the application papers to non-signing inventors  
Roth, Sturtevant, and Abrahams. According to Pugliese, no  
response from Roth, Sturtevant, or Abrahams has been received.

The declaration filed January 22, 2002 and the petition have been  
reviewed and found to be in compliance with 37 CFR 1.47(a). This  
application is hereby accorded Rule 1.47(a) status.

As provided in 37 CFR 1.47(c), this Office will forward notice of  
this application's filing to the non-signing inventor at the

address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

The application file is being returned to the Office of Initial Patent Examination for continuation of pre-examination processing.

Telephone inquiries regarding this decision should be directed to Petitions Attorney Cliff Congo at (703) 305-0272.

*Beverly M. Flanagan*  
Beverly M. Flanagan  
Supervisory Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy



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Washington, D.C. 20231  
www.uspto.gov

DAVID ABRAHAM  
4 UNION ST  
CAMBRIDGE MA 02139

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APR 24 2002

In re Application of :  
Stevens, et al. :  
Application No. 09/845,769 :  
Filed: May 2, 2001 :  
Title: ERROR CORRECTION IN SPEECH :  
RECOGNITION :

LETTER OFFICE OF PETITIONS

Dear Mr. Abraham:

You are named as a joint inventor in the above-identified United States patent application filed under the provisions of 35 USC 116 and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, agent of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Cliff Congo at (703) 305-0272. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (703) 308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Beverly M. Flanagan  
Supervisory Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

Diana DiBerardino  
Fish & Richardson P.C.  
601 Thirteenth Street N.W.  
Washington, DC 20005

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United States Patent and Trademark Office  
Washington, D.C. 20231  
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ROBERT ROTH  
508 WALNUT ST  
NEWTONVILLE MA 02160

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APR 24 2002

In re Application of :  
Stevens, et al. :  
Application No. 09/845,769 :  
Filed: May 2, 2001 :  
Title: ERROR CORRECTION IN SPEECH :  
RECOGNITION :

OFFICE OF PETITIONS

LETTER

Dear Mr. Roth:

You are named as a joint inventor in the above-identified United States patent application filed under the provisions of 35 USC 116 and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

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*[Handwritten signature]*  
Beverly M. Flanagan  
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DEAN STURTEVANT  
904 KINGSWAY APT #A  
WALTHAM MA 02154

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APR 24 2002

OFFICE OF PETITIONS

In re Application of :  
Stevens, et al. :  
Application No. 09/845,769 :  
Filed: May 2, 2001 :  
Title: ERROR CORRECTION IN SPEECH :  
RECOGNITION :

LETTER

Dear Mr. Sturtevant:

You are named as a joint inventor in the above-identified United States patent application filed under the provisions of 35 USC 116 and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, agent of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

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